

# BEST AVAILABLE COPY

PTO/SB/06 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|  |   |
|--|---|
| <b>PATENT APPLICATION FEE DETERMINATION RECORD</b> | Application or Docket Number<br><span style="font-size: 1.5em; font-family: cursive;">AI-211</span> |
|--|---|

| CLAIMS AS FILED - PART I                          |                            |                            |          | SMALL ENTITY |          | OR |              | OTHER THAN SMALL ENTITY |               |
|---|----------------------------|----------------------------|----------|--------------|----------|----|--------------|-------------------------|---------------|
| FOR   | NUMBER FILED<br>(Column 1) | NUMBER EXTRA<br>(Column 2) |          | RATE         | FEE      |    |              | RATE                    | FEE           |
| BASIC FEE<br>(37 CFR 1.16(a))                     |                            |                            |          |              | \$ _____ | OR |              |                         | \$ <u>710</u> |
| TOTAL CLAIMS<br>(37 CFR 1.16(c))                  | <u>11</u>                  | minus 20 = *               | <u>0</u> | x \$ _____ = |          | OR | x \$ _____ = |                         |               |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))            | <u>2</u>                   | minus 3 = *                | <u>0</u> | x _____ =    |          | OR | x _____ =    |                         |               |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) |                            |                            |          | +            | _____ =  | OR | +            | _____ =                 |               |
|   |                            |                            |          | TOTAL        |          | OR | TOTAL        | <u>710</u>              |               |

\* If the difference in column 1 is less than zero, enter "0" in column 2

| CLAIMS AS AMENDED - PART II |   |                     |  |               | SMALL ENTITY |                | OR |       | OTHER THAN SMALL ENTITY |         |
|-----------------------------|---|---------------------|--|---------------|--------------|----------------|----|-------|-------------------------|---------|
| AMENDMENT A                 | CLAIMS REMAINING AFTER AMENDMENT<br>(Column 1)                  | MINUS<br>(Column 2) | HIGHEST NUMBER PREVIOUSLY PAID FOR<br>(Column 3) | PRESENT EXTRA | RATE         | ADDITIONAL FEE |    | RATE  | ADDITIONAL FEE          |         |
|                             | Total<br>(37 CFR 1.16(c))                                       | *                   | Minus  | **            | =            | x \$ _____ =   |    | OR    | x \$ _____ =            |         |
|                             | Independent<br>(37 CFR 1.16(b))                                 | *                   | Minus  | ***           | =            | x _____ =      |    | OR    | x _____ =               |         |
|                             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                     |  |               | +            | _____ =        |    | OR    | +                       | _____ = |
|                             |   |                     |  |               | TOTAL        |                | OR | TOTAL |                         |         |

| AMENDMENT B | CLAIMS REMAINING AFTER AMENDMENT<br>(Column 1)                  | MINUS<br>(Column 2) | HIGHEST NUMBER PREVIOUSLY PAID FOR<br>(Column 3) | PRESENT EXTRA | RATE  | ADDITIONAL FEE |    | RATE  | ADDITIONAL FEE |         |
|-------------|---|---------------------|--|---------------|-------|----------------|----|-------|----------------|---------|
|             | Total<br>(37 CFR 1.16(c))                                       | *                   | Minus  | **            | =     | x \$ _____ =   |    | OR    | x \$ _____ =   |         |
|             | Independent<br>(37 CFR 1.16(b))                                 | *                   | Minus  | ***           | =     | x _____ =      |    | OR    | x _____ =      |         |
|             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                     |  |               | +     | _____ =        |    | OR    | +              | _____ = |
|             |   |                     |  |               | TOTAL |                | OR | TOTAL |                |         |

| AMENDMENT C | CLAIMS REMAINING AFTER AMENDMENT<br>(Column 1)                  | MINUS<br>(Column 2) | HIGHEST NUMBER PREVIOUSLY PAID FOR<br>(Column 3) | PRESENT EXTRA | RATE  | ADDITIONAL FEE |    | RATE  | ADDITIONAL FEE |         |
|-------------|---|---------------------|--|---------------|-------|----------------|----|-------|----------------|---------|
|             | Total<br>(37 CFR 1.16(c))                                       | *                   | Minus  | **            | =     | x \$ _____ =   |    | OR    | x \$ _____ =   |         |
|             | Independent<br>(37 CFR 1.16(b))                                 | *                   | Minus  | ***           | =     | x _____ =      |    | OR    | x _____ =      |         |
|             | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                     |  |               | +     | _____ =        |    | OR    | +              | _____ = |
|             |   |                     |  |               | TOTAL |                | OR | TOTAL |                |         |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/06 (08-00)

## PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

**AI-211**

10/01/01  
09/925968  
30978 U.S. PTO

### CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

| FOR   | NUMBER FILED  | NUMBER EXTRA |
|---|---------------|--------------|
| BASIC FEE<br>(37 CFR 1.16(a))                     |               |              |
| TOTAL CLAIMS<br>(37 CFR 1.16(c))                  | 11 minus 20 = | 0            |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))            | 2 minus 3 =   | 0            |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) |               |              |

| RATE  | FEE |
|-------|-----|
|       | \$  |
| x \$  | =   |
| x     | =   |
| +     | =   |
| TOTAL |     |

| RATE  | FEE |
|-------|-----|
|       | 710 |
| x \$  | =   |
| x     | =   |
| +     | =   |
| TOTAL | 710 |

\* If the difference in column 1 is less than zero, enter "0" in column 2

### CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

| AMENDMENT A   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | * 10 Minus                       | ** 20                              | =             |
| Independent<br>(37 CFR 1.16(b))                                 | * 2 Minus                        | *** 3                              | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

| RATE  | ADDI-TIONAL FEE |
|-------|-----------------|
| x \$  | =               |
| x     | =               |
| +     | =               |
| TOTAL |                 |

| RATE  | ADDI-TIONAL FEE |
|-------|-----------------|
| x \$  | =               |
| x     | =               |
| +     | =               |
| TOTAL |                 |

| AMENDMENT B   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | * 10 Minus                       | ** 20                              | =             |
| Independent<br>(37 CFR 1.16(b))                                 | * 1 Minus                        | *** 3                              | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

| RATE  | ADDI-TIONAL FEE |
|-------|-----------------|
| x \$  | =               |
| x     | =               |
| +     | =               |
| TOTAL |                 |

| RATE  | ADDI-TIONAL FEE |
|-------|-----------------|
| x \$  | =               |
| x     | =               |
| +     | =               |
| TOTAL |                 |

| AMENDMENT C   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | * Minus                          | **                                 | =             |
| Independent<br>(37 CFR 1.16(b))                                 | * Minus                          | ***                                | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

| RATE  | ADDI-TIONAL FEE |
|-------|-----------------|
| x \$  | =               |
| x     | =               |
| +     | =               |
| TOTAL |                 |

| RATE  | ADDI-TIONAL FEE |
|-------|-----------------|
| x \$  | =               |
| x     | =               |
| +     | =               |
| TOTAL |                 |

- \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
  - \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
  - \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
- The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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